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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX DAC

PATENT AND TRADEMARK OFFICE UNDER 37 CFR 1.10

APPLICANT(S):

Thomas Aeugle, et al.

DOCKET NO:

P99,1696

SERIAL NO.:

09/381,323 /

ART UNIT:

Unknown

FILED:

September 16, 1999

EXAMINER.

Not yet assigned

TITLE:

METHOD FOR THE PRODUCTION OF A VERTICAL MOS TRANSISTOR

Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

Dear Sir:

Applicants file this petition pursuant to 37 CFR 1.10(e).

Statement of Facts

An assignment (attached) for the above-identified utility patent application was originally filed with the United States Patent and Trademark Office on September 16, 1999 as evidenced by the attached copy of the Express Mail Label Number EL451654144US.

A copy of the postcard identifying the submission of this assignment and indicating its receipt by the USPTO on September 16, 1999 is also provided.

Applicants recently discovered that this assignment had not been recorded after a request was made for a subsequent assignment.

Applicants submit with this petition:

- 1. A copy of the Express Mail mailing label used to submit the original application;
- 2. The originally deposited papers that constitute the correspondence including the assignment, cover sheets, and check stub; and
- 3. A postcard indicating the papers were received by the USPTO.

The original deposit of the correspondence and the copies of the correspondence contained herein are identical. The copy of the Express Mail mailing label and the official notation entered by the USPS are true copies.

Applicants note that this petition was filed promptly after an awareness that the Office had no evidence of receipt of the correspondence. Applicants believe that no fee is required:

FOR THE ABOVE-IDENTIFIED APPLICATION SUBMITTED WITH THIS PETITION BE CONSIDERED AS FILED IN THE OFFICE ON THE USPS DEPOSIT DATE OF **SEPTEMBER 16, 1999; AND**

2) THAT THE ASSIGNMENT BE PROPERLY RECORDED.

Applicants believe that all requirements have been met with this petition, and respectfully request that the petition be granted.

It is believed that no fee is due for this petition; however, if the Commissioner finds that a fee is due, the Commissioner is authorized to charge any such fees to account no. 50-1519.

Respectfully submitted.

(Reg. No. 45,877)

Mark Bergner

SCHIFF HARDIN & WAITE

PATENT DEPARTMENT

6600 Sears Tower

Chicago, Illinois 60606-6473

(312) 258-5779

Attorney for Applicants

Customer Number 26574

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 21, 2001.

Attorney for Applicants



HON. COMMISSIONER OF PATENTS & TRADEMARKS

EXPRESS MAIL #EL451654144US

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO ACKNOWLEDGE RECEIPT OF THE ATTACHED:

Executed Assignment, PTO Form 1595 and \$40 recording fee

Thomas Aeugle, et al

TYPE OF DOCUMENT(S)

September 16, 1999

#1261 (Siemens #0289)

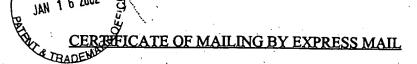
P99,1696

09/381323

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Form 20R

520 Rec'd PCT/PTO 1 6 SEP 1999



"Express Mail" Mailing Label Number EL451654144US

Date of Deposit:

September 16, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10(c) on the date indicated above and is addressed to:

BOX PCT

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Case Number: P99,1696

International Application No.

PCT/EP98/01405

International Filing Date

March 11, 1998

Priority Date Claimed March 19, 1997

Title:

"METHOD FOR THE PRODUCTION OF A VERTICAL MOS

TRANSISTOR"

Applicants:

Thomas Aeugle, Wolfgang Rosner, Dag Behammer and Lili Vescan

Enclosed are the following documents:

PTO Form 1390 in duplicate

PCT Request Form

Translation of International Application into English

Translation of Substitute Pages in English

Amendment "A" Prior to Action

Submission of Drawings (6 sheets - Fig. 17)

Request for Drawing Changes

Information Disclosure Statement, PTO Form 1449 and references cited

Executed Declaration and Power of Attorney

Executed Assignment (in separate envelope with \$40.00 recording fee)

Fee:

\$840.00

Postcard

Signature of person mailing document and fees